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PATENT  
450100-3752.1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : H. Kitano et al.  
Serial No. : 09/910,288  
For : INFORMATION RETRIEVAL APPARATUS AND METHOD  
Filed : July 20, 2001  
Art Unit : 2733

**COPY OF PAPERS  
ORIGINALLY FILED**

745 Fifth Avenue  
New York, NY 10151

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, on November 16, 2001

Gordon Kessler, Reg. No. 38,511

Name of Applicant, Assignee or Registered Representative

*Gordon Kessler*  
Signature

November 16, 2001

Date of Signature

**COMMUNICATION**

Assistant Commissioner for Patents  
Washington, D.C. 2023

Dear Sir:

Attached is the Reissue Specification in double-column format, the original executed Declaration and Power of Attorney, Statement Under 37 C.F.R. §3,73(b), Offer to Surrender and Assent of Assignee, along with the Notice to File Missing Parts and Reissue Supplement to the Notice to File Missing Parts mailed on September 17, 2001.

Also enclosed is a check for the surcharge (\$130.00) and the fee under 37 C.F.R. §1.17(a) for the term for reply to be up to and including November 17, 2001.

Please charge any additionally required fees or credit any overpayment to Deposit

Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG, LLP  
Attorneys for Applicants



Gordon Kessler

Reg. No. 38,511

(212) 588-0800

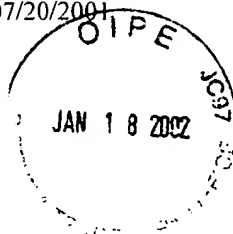


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/910,288	07/20/2001	Hiroaki Kitano	450100-3752.1

William S. Frommer, Esq.  
c/o Frommer, Lawrence & Haug LLP  
745 Fifth Avenue  
New York, NY 10151



CONFIRMATION NO. 1721

## FORMALITIES LETTER



\*OC000000006566751\*

Date Mailed: 09/17/2001

## NOTICE TO FILE MISSING PARTS OF REISSUE APPLICATION

*Filing Date Granted*

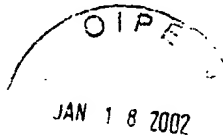
An application number and filing date have been accorded to this reissue application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (709) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Application Number: 9/9/10 288

Attachment to Paper No:

REISSUE SUPPLEMENT  
NOTICE TO FILE MISSING PARTS OF APPLICATION

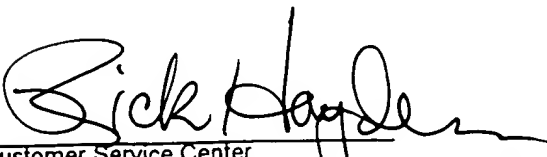
This Reissue Supplement is an attachment to:

- ☐ "Notice to File Missing Parts of Application" Filing Date Granted
- ☐ "Notice of Incomplete Application" No filing Date Granted

The item(s) indicated below as missing must be filed within the period for reply set on the attached form to avoid abandonment.

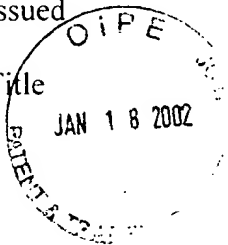
Correction of the following is required to complete the reissue application:

- ☒ 1. The reissue specification has not been provided in double-column format as is required by 37 CFR 1.173(a)(1).
- ☒ 2. Consent of the assignee is missing. 37 CFR 1.172 requires that the reissue oath/declaration be accompanied by the written consent of all assignees.
- ☐ 3. Consent of the assignee is present, but is **unsigned**. A statement of consent bearing the signature of an official authorized to act on behalf of the assignee(s) must be provided.
- ☐ 4. Assignee's statement under 37 CFR 3.73(b) establishing ownership of the patent is missing. 37 CFR 1.72 requires that all assignees consenting to the reissue establish their ownership interest in the patent by filing in the reissue application a statement in accordance with 37 CFR 3.73(b). See MPEP Sec. 324.
- ☒ 5. Assignee's statement under 37 CFR 3.73(b) is present, but is **unsigned**. A 37 CFR 3.73(b) statement bearing the signature of an official authorized to act on behalf of the assignee must be provided.

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patentee : H. Kitano et al.  
Application to  
Reissue Patent No. : 5,926,116  
Issued : July 20, 1999  
Title : **INFORMATION RETRIEVAL APPARATUS  
AND METHOD**



745 Fifth Avenue  
New York, NY 10151

**STATEMENT UNDER 37 C.F.R. §3.73 (b)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The undersigned, authorized to sign this Statement on behalf of the assignee, states that **SONY CORPORATION**, a corporation of Japan, is the assignee of the entire right, title and interest in the reissue patent application identified above by virtue of an assignment from the inventor.

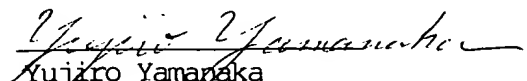
The document is of record in the Patent and Trademark Office in connection with original application 08/770,040.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above.

Respectfully submitted,

SONY CORPORATION

By:

  
Yujiro Yamataka  
Manager  
Legal & Intellectual Property Dept.

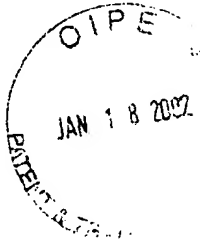
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patentee : H. Kitano et al.

Application to  
Reissue Patent No. : 5,926,116

Issued : July 20, 1999

Title : **INFORMATION RETRIEVAL APPARATUS  
AND METHOD**



745 Fifth Avenue  
New York, NY 10151

**OFFER TO SURRENDER**

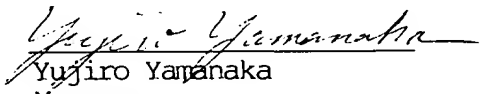
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The undersigned, authorized by the assignee, Sony Corporation, hereby offers to  
surrender the original U.S. Patent No. 5,926,116, upon the allowance of this application,  
pursuant to 37 C.F.R. 1.178.

Respectfully submitted,

SONY CORPORATION

By:   
Yujiro Yamataka  
Manager  
Legal&Intellectual Property Dept.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : H. Kitano et al.

Application to  
Reissue Patent No.: 5,926,116

Issued : July 20, 1999

For : **INFORMATION RETRIEVAL APPARATUS AND METHOD**



745 Fifth Avenue  
New York, New York 10151

**ASSENT OF ASSIGNEE**

Assistant Commissioner for Patents  
Washington, D.C. 20231

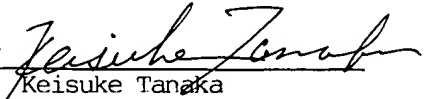
Sir:

The undersigned, authorized by the assignee, Sony Corporation, states that Sony Corporation, a corporation of Japan, is the assignee of the entire right, title and interest in the patent identified above by virtue of an assignment from the inventors of application Serial No. 08/770,040 which issued as U.S. Patent 5,926,116 on July 20, 1999, the present application being a reissue thereof. The assignment was recorded on April 22, 1997 at Reel 8484, Frame 0634.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above.

Sony Corporation hereby assents to the filing of the above-identified application  
to reissue U.S. Patent 5,926,116.

SONY CORPORATION

By   
Keisuke Tanaka  
Manager  
Intellectual Property Division

Date: November 9, 2001